

# EXHIBIT U



STATE OF ALABAMA  
**DEPARTMENT OF EDUCATION**



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of Education

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Interim Secretary and  
Executive Officer

**AFFIDAVIT OF JAMES ANDREW CRAIG**  
**CHIEF OF STAFF/DEPUTY STATE SUPERINTENDENT**  
**DIVISION OF ADMINISTRATIVE AND FINANCIAL SERVICES**  
**ALABAMA STATE DEPARTMENT OF EDUCATION**

I, James Andrew (Andy) Craig, undertake this effort at the request of the Attorney General's Office, and hereby attest under oath as follows, and that the following information is true and correct to the best of my knowledge:

1. My name is James Andrew Craig. I have been employed by the Alabama State Department of Education since January 2, 2015. I serve as the Deputy State Superintendent in the Division of Administrative and Financial Services for the Alabama State Department of Education.
2. In my official capacity, I have personal knowledge as to the amount of funds allotted to the State of Alabama, and more specifically the ALSDE, from the U.S. Department of Education for the purposes of administering federally funded education programs.
3. In Federal Fiscal Year (FFY) 2016, Alabama has been allotted \$246,982,131 in federal funds to support programs under Title I of the Elementary and Secondary Education Act. This funding goes to services for students who are low-income or struggling in school, migrant students, and students considered "neglected and delinquent."
4. Also in FFY 2016, Alabama has been allotted \$2,627,609 in funding for Impact Aid. Impact Aid is designed to support students of active duty military.
5. Also in FFY 2016, Alabama has been allotted \$197,208,010 in federal funding for special education to assist in the education of infants, young children, and students with disabilities.
6. Alabama has been allotted \$19,175,065 for Career and Technical Education State grants in FFY 2016. These grants help support programs that prepare youth for jobs in specific fields aligned with workforce needs.
7. In total, including programs not listed here, the State of Alabama has been allotted \$538,489,821 in federal funding from the U.S. Department of Education to support federal elementary and secondary education programs in FFY 2016.

8. The State has been allotted an additional \$63,664,148 in FFY 2016 for other educational programs including programs for individuals with disabilities, and English literacy and civics education.
9. The State has been allotted a total of \$503,509,513 in federal higher education grants in FFY 2016. This category includes federal Pell grants, Supplemental Educational Opportunity Grants (SEOG), and Federal Work-Study grants.
10. College students in the State of Alabama originated \$1,663,748,321 in new federal student loans in FFY 2016. These new loans are Direct Student Loans which originate from, and are backed by, the U.S. Department of Education. It does not include private student loans which are obtained through banks or other lenders.
11. Overall, the State of Alabama has been allotted nearly \$3 billion in federal educational aid in FFY 2016 – a total of \$2,778,622,855.<sup>1</sup>

I declare under penalty of perjury that the foregoing information is true and correct.

STATE OF Alabama } SS.  
COUNTY Cherokee

James A. Craig  
James Andrew Craig

6/16/16  
Date

Subscribed and sworn to or affirmed before me  
this 16<sup>th</sup> day of June 2016.

Elaine Enlich Kirkpatrick  
Notary Public

My commission expires 7/11/16

<sup>1</sup> Funding allocations taken from budget tables provided by the U.S. Department of Education Budget Service and dated June 2, 2016; see <http://www2.ed.gov/about/overview/budget/statetables/17stbystate.pdf>.

Funds for State Formula-Allocated and Selected Student Aid Programs  
U.S. Department of Education Funding  
Alabama

Program	2015 Actual	2016 Estimate	2017 Estimate	Amount Change FY 2016 to 2017	Percent Change FY 2016 to 2017
Grants to Local Educational Agencies	221,717,283	237,561,641	241,428,405	3,866,764	1.6%
School Improvement Programs	7,285,051	6,716,934	0	-6,716,934	-100.0%
State Agency Program—Migrant	2,038,436	2,038,436	1,834,592	-203,844	-10.0%
State Agency Program—Neglected and Delinquent	668,288	665,120	665,407	287	0.0%
Subtotal, Education for the Disadvantaged	231,709,058	246,982,131	243,928,404	-3,053,727	-1.2%
Impact Aid Basic Support Payments	2,152,881	2,445,380	2,475,041	29,661	1.2%
Impact Aid Payments for Children with Disabilities	163,832	182,229	182,229	0	0.0%
Impact Aid Construction	0	0	0	0	—
Subtotal, Impact Aid	2,316,713	2,627,609	2,657,270	29,661	1.1%
Supporting Effective Instruction State Grants	36,284,700	35,676,248	35,848,033	171,785	0.5%
Mathematics and Science Partnerships	2,594,282	2,683,325	0	-2,683,325	-100.0%
21st Century Community Learning Centers	17,135,242	17,255,424	14,790,283	-2,465,141	-14.3%
State Assessments	6,134,570	6,134,570	5,861,742	-272,828	-4.4%
Rural and Low-Income Schools Program	4,147,202	4,293,712	3,539,937	-753,775	-17.6%
Small, Rural School Achievement Program	4,481	4,481	0	-4,481	-100.0%
Student Support and Academic Enrichment State Grants	0	0	7,767,362	7,767,362	—
Indian Education—Grants to Local Educational Agencies	1,427,676	1,427,676	1,427,676	0	0.0%
English Language Acquisition	3,845,133	3,925,988	4,153,700	227,712	5.8%
Homeless Children and Youth Education	980,926	1,095,582	1,330,459	234,877	21.4%
Subtotal, All of the Above Programs, which were or are proposed to be authorized by the Elementary and Secondary Education Act	306,579,983	322,106,746	321,304,866	-801,880	-0.2%
Special Education—Grants to States	179,553,881	185,386,570	185,371,148	-15,422	0
Special Education—Preschool Grants	5,211,985	5,436,383	6,036,862	598,479	11.0%
Grants for Infants and Families	6,158,869	6,383,057	6,788,207	405,150	6.3%
Subtotal, Special Education	190,925,735	197,208,010	198,196,217	988,207	0.5%
Career and Technical Education State Grants	19,175,065	19,175,065	19,175,065	0	0
Subtotal, Vocational and Adult Education	19,175,065	19,175,065	19,175,065	0	0.0%
Subtotal, All Elementary/Secondary Level Programs	516,680,783	538,488,821	538,676,148	186,327	0.0%

Funds for State Formula-Allocated and Selected Student Aid Programs  
U.S. Department of Education Funding  
Alabama

Program	2015 Actual	2016 Estimate	2017 Estimate	Amount Change FY 2016 to 2017	Percent Change FY 2016 to 2017
Federal Pell Grants	480,000,000	475,700,000	519,000,000	43,300,000	9.1%
Federal Supplemental Educational Opportunity Grants	11,685,771	11,685,771	11,685,771	0	0.0%
Federal Work-Study	16,123,742	16,123,742	16,123,742	0	0.0%
<b>Subtotal, All Postsecondary Education Programs</b>	<b>507,809,513</b>	<b>503,509,513</b>	<b>546,809,513</b>	<b>43,300,000</b>	<b>8.6%</b>
Vocational Rehabilitation State Grants	60,430,501	62,174,068	66,336,743	4,162,675	6.7%
Client Assistance State Grants	166,850	165,898	164,852	-1,046	-0.6%
Protection and Advocacy of Individual Rights	223,896	222,618	221,214	-1,404	-0.6%
Supported Employment State Grants	353,080	346,090	395,678	49,588	14.3%
Independent Living Services for Older Blind Individuals	479,980	503,919	536,414	34,495	6.8%
Adult Basic and Literacy Education State Grants	8,947,459	9,211,051	9,211,855	804	0.0%
English Literacy and Civics Education State Grants	257,354	251,555	251,555	0	0.0%
<b>Subtotal, All Other</b>	<b>70,859,121</b>	<b>72,875,199</b>	<b>77,120,311</b>	<b>4,245,112</b>	<b>5.8%</b>
<b>Total</b>	<b>1,095,349,417</b>	<b>1,114,874,533</b>	<b>1,162,605,972</b>	<b>47,731,439</b>	<b>4.3%</b>
New Student Loan Volume:					
Federal Direct Student Loans	1,634,343,438	1,663,748,321	1,744,748,543	81,000,222	4.9%
Total, New Student Loan Volume	1,634,343,438	1,663,748,321	1,744,748,543	81,000,222	4.9%
<b>Grand Total</b>	<b>2,729,692,855</b>	<b>2,778,622,855</b>	<b>2,907,354,515</b>	<b>128,731,661</b>	<b>4.6%</b>

**NOTES:**

State allocations for fiscal years 2016 and 2017 are preliminary estimates based on currently available data. Allocations based on new data may result in significant changes from these preliminary estimates.

For English Language Acquisition State Grants, estimate for 2017 were calculated using a combination of State-provided English learner counts and counts from the American Community Survey. The State-provided data used to calculate this estimate are from academic year 2013 - 2014 and the American Community Survey data are estimates from a 3-year period from 2011 - 2013. The amounts for 2015 and 2016 were calculated using only the 3-year American Community Survey estimates.

Special Education Grants to States 2017 allocations assume enactment of the Administration's proposed appropriations language that authorizes the Department to calculate a State's allocation without regard to a reduction in funding in a prior year resulting from a failure to meet the maintenance of State financial support requirements in section 612 of the IDEA.

Amounts distributed from the fiscal years above are based on the Pell Grant program's estimated cost as of February 2016. All estimates include new appropriations plus the use of all or a portion of an accumulated surplus of unobligated balances.

For Vocational Rehabilitation State Grants, the FY 2015 and FY 2016 amounts reflect the sequester reduction required for mandatory programs (7.3 percent for FY 2015 and 6.6 percent for FY 2016) pursuant to the Budget Control Act of 2011 (P.L. 112-25).

For Independent Living Services for Older Blind Individuals, State allocations for fiscal year 2017 are estimates based on currently available population data for individuals age 55 and older and will be updated when July 2015 estimates by age group become available.

Compiled for posting on the WEB by the Budget Service on June 2, 2016